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PATENT

Case Docket No.: GENENT.073A2  
Date: January 16, 2002

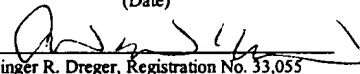
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : ERICKSON et al.  
Appl. No. : 09/811,123  
Filed : March 16, 2001  
For : METHODS OF  
TREATMENT USING ANTI-  
ErbB ANTIBODY  
MAYTANSINOID  
CONJUGATES  
Examiner : Unknown  
Group Art Unit : 1614

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
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envelope addressed to: Commissioner for Patents,  
Washington, D.C. 20231, on

January 16, 2002

(Date)

  
Ginger R. Dreger, Registration No. 33,055

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TRANSMITTAL LETTER

COMMISSIONER FOR PATENTS  
Office of Initial Patent Examination  
Customer Service Center  
WASHINGTON, D.C. 20231

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Request for Corrected Filing Receipt.
- (X) A copy of the Filing Receipt.
- (X) A copy of the Response to Request for Corrected Filing Receipt.
- (X) The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

  
Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant : ERICKSON et al.

Group Art Unit: 1614

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COPY OF PAPERS  
ORIGINALLY FILEDREQUEST FOR CORRECTED FILING RECEIPTAssistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

Applicants hereby request that the Official Filing Receipt, a copy of which is enclosed, be corrected to reflect the complete priority claim. Please correct the domestic priority data claimed by applicants as follows.

This application claims priority under 35 U.S.C. §119(e) to U.S. Provisional Application Nos. 60/238,327, filed October 5, 2000 and 60/189,844 filed March 16, 2000 and under 35 U.S.C. §120 as a continuation of U.S. Application No. 09/602,530 filed June 23, 2000, now U.S. Provisional Application No. 60,327,563, abandoned.

Respectfully submitted,

KNOBBE, MARTENS, OLSON &amp; BEAR, LLP

Dated: January 16, 2002By: Ginger R. Dreger, Registration No. 33,055  
Attorney of Record  
620 Newport Center Drive, Sixteenth Floor  
Newport Beach, CA 92660  
(415) 954-4114

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/811,123	03/16/2001	1614	1452	GENENT.073A2	46	54	2

CONFIRMATION NO. 6508

20995

KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

UPDATED FILING RECEIPT



\*OC000000006425696\*

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Date Mailed: 08/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**Sharon Erickson, Hillsborough, CA;  
Ralph Schwall, Pacifica, CA;  
Mark Sliwowski, San Carlos, CA;**Domestic Priority data as claimed by applicant**THIS APPLN CLAIMS BENEFIT OF 60/238,327 10/05/2000  
AND CLAIMS BENEFIT OF 60/189,844 03/16/2000**Foreign Applications**

If Required, Foreign Filing License Granted 05/22/2001

Projected Publication Date: 11/22/2001

Non-Publication Request: No

Early Publication Request: No

D.S.  
NO DATES DOCKETED  
ATTORNEY RESPONSIBLE  
INITIAL \_\_\_\_\_**Title**

Methods of treatment using anti-ErbB antibody-maytansinoid conjugates

**Preliminary Class**



**LICENSE FOR FOREIGN FILING UNDER**  
**Titl 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/811,123	03/16/2001	Sharon Erickson	GENENT.073A2

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

CONFIRMATION NO. 6508

\*OC00000006911928\*

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## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Claims, Fees, Inventors and Continuity

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In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

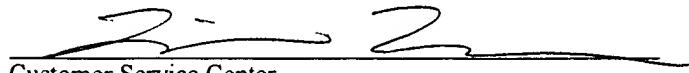
- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☒ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
- ☐ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (.), cannot be included on the Filing Receipt.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

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*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to be "Z. Z.", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE